

# Using BAM and CEP for Process Monitoring in Cloud BPM

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## Abstract

BAM (Business Activity Monitoring) and CEP (Complex Event Processing) have been deeply studied in traditional BPM, according to the procurement of relevant results about process execution, especially for improvement and monitoring purposes. Once BPM was introduced in the cloud and it was necessary to decompose processes in order to execute them in hybrid environments, the conception of monitoring has suffered several changes. In previous works we have designed an architecture for process execution and monitoring which considers several services in order to gather and show the recollected information as relevant results. A new approach over this architecture takes place in this work: it considers BAM and CEP as mechanisms for the information gathering process, and also enhances the monitoring application taking advantage of these two methodologies, now under the distributed perspective. Additionally we make a comparison about when it is convenient to use BAM or CEP alternatively in a distributed environment, according to the nature of the recollected data, the type of indicators needed to be shown and also the latency window over the data used as a source.

**Keywords:** BAM, BPM, CEP, cloud, Monitoring.

## 1. Introduction

The monitoring phase is one of the most important during the business process lifecycle, assuring the continuous improvement which is one of the major principles in the business process oriented paradigm. Both execution and monitoring aspects take a different meaning if they are observed from a classic centralized architecture through a hybrid collaborative environment where cloud and embedded components are combined, and even more where these last ones could be public or private. Facing this decentralized context, it is important to conserve the perspective of the original process model which has a centralized view, and on the other hand, to register every single detail and complex event related to the process in a distributed

environment. All these data are really important in order to embrace the biggest amount of information which results useful for process measuring and improvement. The current work takes a previous architecture implemented in [6] [7] and introduces over it two different technologies: BAM (Business Activity Monitoring) and CEP (Complex Event Processing) as a new way of obtaining useful data from the different distributed nodes in the architecture. Even though BAM and CEP are not new in the BPM paradigm, in this context they take a new significant because of the instances to be monitored and the events to be followed belong to the process instances which are distributed all over the cloud. The present work is structured as follows: in Section 2 some related works are presented. In Sections 3 to 5 we make a review about some BAM and CEP concepts and the implications of them being considered under the cloud perspective. We also revise the architecture presented in [6] and [7], and it is modified in order to introduce the BAM and CEP elements but with a distributed perspective. In Section 6 different indicators corresponding to BAM and CEP are analyzed in order to implement the continuous improvement feature. Finally in Section 7 some conclusions and ideas are presented for future works.

## 2. Related Work

There are many references to BAM and CEP in different current articles. Typically these two terms are considered under an embedded or traditional perspective. For example, in [1] a formal proposal for event processing in BPM is presented. This paper addresses the concept of CEP applied to BPM but under a traditional perspective, i.e. an embedded solution. Some concepts are taken from there and extrapolated to a cloud environment, especially those referred to the second generation of BAM. In [2] a similar idea to [1] is presented, but with some distributed elements, exploring the idea of BAM as a multi-application platform. In [3] it is possible to see a concrete implementation for monitoring cloud nodes, in particular nodes that include BPM and SOA applications, and considering also CEP alternatives. The main goal in the quoted work

consists in obtaining relevant indicators for the continuous improvement cycle. In [6] and [7] we find a previously constructed architecture for hybrid systems that takes in consideration different methods other than CEP and BAM in order to collect information about the distributed instances. This previous contribution was taken to be modified and complemented using these two named techniques in order to enrich the monitoring and continuous improvement lifecycle.

### 3. BAM and CEP

These two concepts were developed several years ago, and are often taken as mechanisms to obtain relevant information in service oriented systems, and particularly in BPM. In this section we analyze them under a traditional perspective in contraposition to a distributed or hybrid view, which is the main goal of the present work.

#### 3.1. BAM in traditional BPM

There are several considerations that we can establish in order to review the BAM and BPM relationship over these last years. Business Process Management and Business Activity Monitoring have separate lives and deployment patterns; as distinct technologies for the real-time enterprise, they are different arrows in the IT quiver. However, there are several topics that indicate a strong linkage between them:

- They are highly complementary and also are partially converging. Even though they have independence since their conception, there are several grey areas where they connect.
- The enterprise will have many BAM and BPM tools, especially if it is considering a cloud based environment, with private and public nodes.
- BAM and BPM will be often deployed together in order to solve business-level problems. BPM is a useful income to BAM in order to support the decision making process.
- Some BAM functional requirements will be met by some BPM monitoring functions, instead of a "classic BAM" tool, because of the constant evolution they show.

BPM and BAM have three main areas of convergence (and potential conflict): BPM acting as "BPM+BAM", BPM serving as BAM's response mechanism or recipient and BPM as a passive analytic/visualization model for BAM. It is

important to remember that BAM is multi-application, correlating multiple sources of independent data; in each of these convergence scenarios, there is an implicit assumption that BAM is working with more than one underlying application [10] [1] [2]. Much of the focus of BAM has been simply put on process measurement. This has certainly proved fruitfulness, but companies started later to use their growing level of BAM expertise to target specific business problems, enabling them to deliver greatly increased returns. A range of second-generation strategies have emerged and led BAM into a much closer participation in the business, generating value way beyond than the first-generation process measurement approach. In particular, three new strategic areas have been developed: Business Assurance and Visibility, Control Services and Complex Pattern Recognition. Several high-level reviews of these new strategies and the associated techniques to implement them were made, followed by some case experiences and strategies put into practice. BAM can deliver significant benefits and address a wide range of business solutions. Anyone either using or looking to use BAM should consider carefully the strategies and techniques discussed below in order to deliver a maximum business value. There are four basic elements for BAM, especially considering the second generation, which makes especial focus in transactions and events. These elements are concentrated in volumes, velocities, errors and special conditions. In terms of volumes, examples that should be measured are for example the number of transactions, number of process events, transaction revenue, process revenue, lines of business revenue, cost, margin, number of changes in a record, number of items consumed, number of calls, number of closed tickets, number of errors, inter alia. In terms of velocities, there are several cases that should be considered. Some of them are process cycle-time, cycle-times of individual steps, wait-times between events, time remaining for completion and process throughput. In terms of errors, it is important to consider that the value and time-related attributes of business transactions provides vital information about the overall operational health for business systems. This is fine when everything is working reliably, but even in the best systems there will always be problems. These may exist due to flaws in the process, external problems such as hardware or software issues, or perhaps human errors. BAM tracks errors too, making possible the problem identification phase, so they can be fixed [10] [2] [3] [4]. Counting and measuring errors statistically help to improve error comprehension, their frequencies, and any associated trend. The final aspect of BAM measurement relates to special conditions. These

conditions are defined by the user.



Fig. 1: BAM engines and components [4]

As with all the other measurements, BAM will track these special conditions and provide statistical and analytical information about them, raising alerts or taking actions when some specified conditions are met. For example, a company might want to be alerted about any order beyond a certain size or the presence of non-standard shipping instructions. In Fig. 1 it is possible to see the different components in a BAM engine environment [10] [4] [5].

### 3.2. CEP in traditional BPM. Relationship with BAM

The main goal of CEP is the real-time analysis of events, which provokes the comparison of databases that structure and analyze data sets. Simplified, CEP can be seen as a database approach where databases establish a static amount of data and allow definition of queries which are executed afterwards. They analyze the present amount of data and deliver a result which is always just as up to date as the database of the query. CEP defines event streams as structures: on the one hand event streams allow the routing of arbitrary incoming events and a goal-oriented analysis; on the other hand queries are predefined on one or more event streams. Other systems like databases, for instance, can also be integrated into CEP queries for comparison reasons

[1] [2] [5] [8]. As events arrive arbitrarily, a static database is not given in CEP. Therefore, the predefined queries are evaluated frequently and searched for certain patterns in the event streams. The results can be displayed in frequently updated diagrams, or some kind of triggers could be defined facing the occurrence of a special pattern. As events are analyzed directly after they are delivered to a CEP system, results are generally reflecting a real-time status. Furthermore, this approach can be used to filter important data and define queries for use cases with a huge amount of events or data. Consequently, CEP is also applicable to scenarios where databases cannot manage data storing fast enough without losing some data sets. In this context, the relationship between BPM and BAM/CEP seems to be complementary. BAM is really useful in order to consider information about the activities in the process, about the different instances running inside the process engine, and for the business indicator making process. CEP is more global, it comprises more than just process instances and activities. It can also monitor different events related to business processes but not particularly coming from process instances, like peripheral data that belong to the business and need to be considered in the decision making process. Because of these facts, CEP results very important and complementary to BAM in order to construct a dashboard that helps business analysts to provide important contributions about processes, about the business in general, and for making improvements in the business process lifecycle [5] [8] [9] [10]. In Fig. 2 it is possible to see the interaction between the different components according to each layer, and how the BAM engine and the CEP suite could interact in both directions in order to exchange relevant results.

### 3.3. BAM and CEP under a distributed perspective

In some previous works ([6], [7]) we have seen the interaction between the different components in a cloud oriented BPM system, where each part could be located in a public or private node.



searching for patterns that imply a trend or a situation that needs to be managed. These reactions are considered very complex and include calls to different systems or humans, or even to BPM engines in other nodes of the architecture. Therefore, the reactions are defined as processes in a BPM suite and a pattern detection mechanism leads to process starting and execution (Fig. 4). The process executes all actions as needed. It could call also other systems, send notifications via e-mail or just add process steps to a worklist, for instance [1] [2] [17].

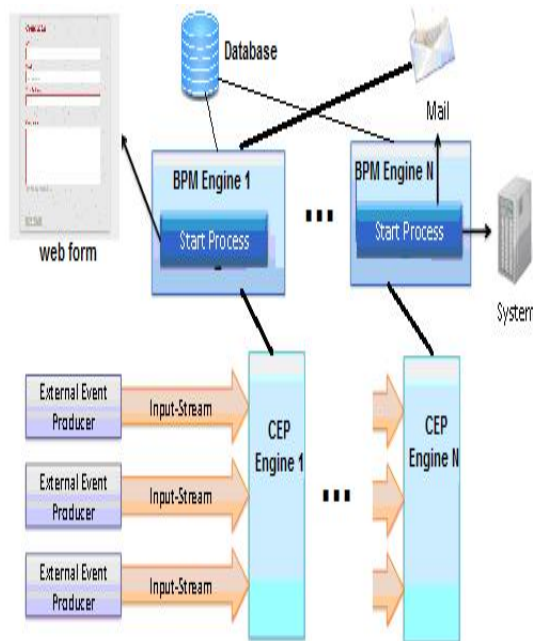


Fig. 4: CEP and distributed BPM in reaction execution

Using processes to define and execute reaction definitions provides several advantages. Processes could have a graphical presentation which enhances comprehension; as long as the original decomposed process perspective is present. Therefore, the reactions are easy to maintain since they provide a high degree of flexibility. Processes can be modified or adapted to new environments very easily. Additionally, they can be substituted by other processes in a BPM suite without effects in the CEP suite if both systems are loosely coupled. This loose coupling also enables a CEP suite to be integrated in any other system without affecting the BPM suite. All these features show that processes used to define reaction definitions in CEP can be very useful [18] [19] [20].

#### 4. Modifications in the original architecture

As we have seen previously the architecture that was presented in [6] and [7] has several modules

specialized in the execution of decomposed processes, and in the recollection of data for the monitoring application. The main goal during both activities is to preserve the perspective of the original process, in terms of execution and monitoring. Considering the concepts introduced in this work, the execution of business processes will remain in the same way. Once the decomposition criterion was fixed, several parts of the process are constructed and deployed in several nodes all over the architecture, where each node contains a BPM engine.

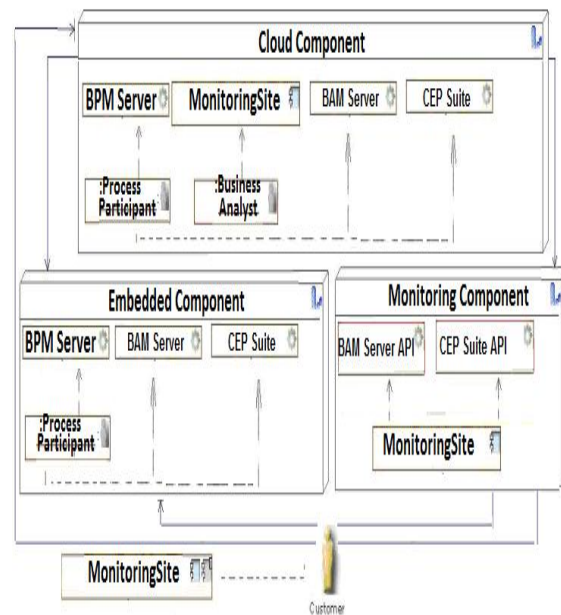


Fig. 5: Architecture components considering BAM and CEP

Each part of the process will link the next one by using process connectors: once the first one is finished it will start the second one in a remote server and so on. In terms of monitoring, several modifications should be introduced. In this way the results of the BAM engines should be merged in order to produce indicators that represent the original process perspective, in despite of the applied decomposition [21] [22]. The monitoring application in charge of the recollection process will interact with each BAM node in the architecture, and should be in charge of merging the results in order to produce indicators related to the original process. The nature of the data processed by the CEP suite is heterogeneous and could proceed from different kinds of applications, not only BPM engines [1] [2] [6] [7] [23] [24]. In Fig. 5 the global architecture is illustrated. It has three main components: the cloud component, the embedded component and the monitoring application. The cloud component has a BPM engine (it could be replicated on several nodes) and the monitoring site which is common for

all users; a BAM server (follows the same replication criterion as the BPM engines) and a CEP suite which complements the incomes for the indicator making process [25] [26]. On the other hand an embedded component takes part. This is representing the nodes which should remain in traditional conditions according to reasons like application portability or data sensibility. The third element is the Monitoring Component which is in charge of producing relevant results about the distributed processes for the decision making process.

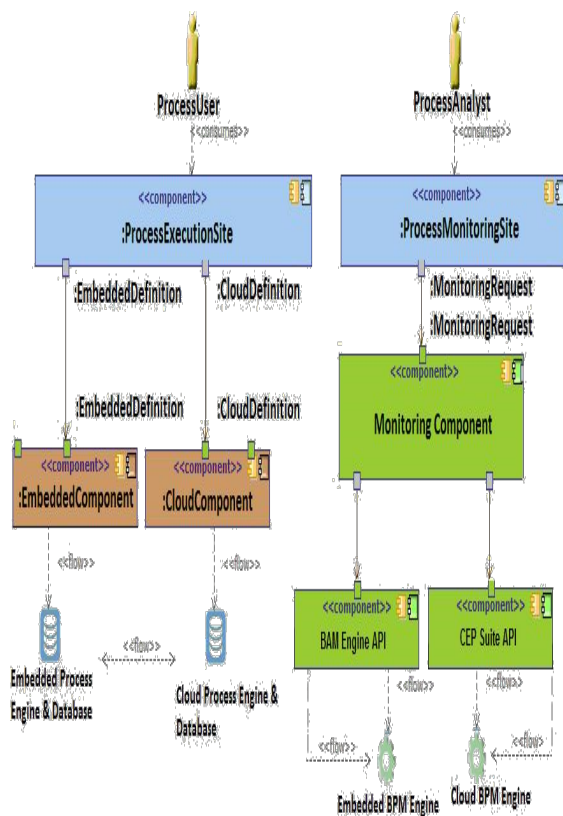


Fig. 6: Application cloud communication diagram using CEP and BAM

An important detail to remark in this context is the access that a process participant could have to the distributed BAM and CEP suites on the one hand, and the relationship between them and the Monitoring Component on the other. The Monitoring site presents a completely different situation. This application is in charge of displaying results according to the original perspective of the decomposed process. So, it will interact with the APIs associated to the BAM and CEP suites in order to collect the results and join them in order to show the information seamlessly. The partial results correspond to each partition of the original process, while the final results correspond to the global process once the intermediate results were merged

[27] [28]. In Fig. 6 the communication between the different components and the actors is illustrated. This particular aspect of the architecture remains mostly invariable as in the previous contributions, except for the inclusion of the BAM and CEP suites' API in order to obtain the results from the different portions of the process and merge them seamlessly according to the original process perspective [1] [2] [29].

### 5. Indicators: batch and speed layers in distributed BPM

There are several differences between the results thrown by BAM and CEP. Even though both participate in the indicator making process and provide relevant information for the decision making process, the nature of the incomes and the outcomes of each one tends to be quite different. In the case of BAM, the incomes proceed exclusively from the BPM engines distributed all over the architecture. The data recollected is absolutely dependent on the decomposed processes. On the other hand, CEP monitors events in general, and in particular the ones belonging to business processes or, instead of that, they could proceed from different applications deployed in the cloud, related to the business also, but not specifically to the BPM engines [5] [10] [30] [31]. In terms of indicators, the data monitored by using BAM in general are more static compared with events, especially those which could be considered complex. The period of latency necessary in order to provide a reliable indicator is major compared with the event log considered by CEP. There are several standard indicators for BAM which are present in traditional BPM and they could be extrapolated for distributed BPM:

- Average execution time: it could be considered for each part of the decomposed process, or a summarization in case of the global process definition.
- Finalization percentage: determines process participation inside the organization. It considers the amount of ended processes divided by the total amount of them. In terms of decomposition this indicator could be expressed for each individual node, or globally. To consider the second choice it is necessary to consider the amount of ended instances grouped by process definition, and then proceed to make the calculation.
- Failed tasks percentage: this indicator shows the percentage of failed tasks grouped by process definition. It is useful to see the most problematic tasks in the environment. In terms of distributed

BPM, the instances should be grouped firstly by the original process definition, and after this make the final calculation.

- Started instances: it shows the started cases divided by process definition, and it could consider also process versions. In terms of distributed BPM the instances should be grouped firstly by the original process definition, and after this make the final calculation.
- Activation percentage: shows the relation between the total amount of cases activated by process definition and the global amount of instances. Once again, in a distributed environment, cases should be grouped first.
- Accumulated percentage: results from dividing the accumulated process activations and the started cases of all processes. The grouping tasks should be done once again in a distributed environment.

In this way it is possible to see how the standard BAM indicators extracted from traditional BPM are capable of being extrapolated to distributed BPM, in terms of some extra calculations introduced by the fact of using process decomposition [4] [5] [32] [33]. CEP is also very important in terms of indicators for the decision making process, but the data's nature considered by this discipline is much more dynamic than the one considered in BAM. There are also several standard indicators for CEP applied to traditional BPM. They are also applicable to distributed BPM and mostly take data from cases currently in execution. Examples of these indicators are:

- Active cases' execution time: this indicator shows the elapsed time and the pending time for the deadline applied to active instances. The indicator also shows an alert in case the deadline has been reached. In terms of distributed BPM it is important to merge the source events for all the intermediate instances in order to show a global result with the original process perspective.
- Failed tasks control: shows in real time the failed tasks with some control information. On several occasions this indicator results useful to detect the status of a certain case.

This list is not restricted; any kind of new indicators could be developed considering the real time events emerged from a BPM system. This type of indicators results very useful during the design and implementation phases in terms of quick modification and bug fixing. Considering the different layers composing the business

infrastructure, BAM corresponds to the batch layer and CEP to the speed layer.

	BAM	CEP
Incomes' nature	Data considered for BAM indicators is mostly related to ended cases. Since the discipline is related to processes exclusively, the source is the BPMS's log.	Data considered for CEP indicators proceed mostly from active cases and real time events. These events could belong both to BPM engines and traditional applications deployed in the cloud environment.
Indicator's type	Static	Dynamic
Latency window	Latency is wide. The processing type is associated with the batch mode.	Is very narrow. The real time factor is essential in terms of efficiency and error correction.

Table I: Comparison between BAM and CEP

In Table I we make a very brief comparison of BAM and CEP. It can be also applied both to traditional or distributed BPM. These concepts correspond to traditional BPM, but they are the same in the case of distributed BPM. Analyzing the BAM indicators, the information involved is mostly related to ended cases, so the latency window is wide [10] [5] [34] [35]. The type of processing needed by BAM indicators is batch; results are not shown in real time. In opposition to this, CEP indicators use information of current running activities and cases. The results needed are related to the efficiency and error correction. This information must be released in real time and processed on the fly. That is the main reason why BAM and CEP are complementary in terms of the type of indicators managed [36] [37] [38].

## 6. Conclusions

The irruption of BPM in the cloud has caused several changes in business process execution. These changes have been studied deeply in current

bibliography, especially when it comes to process execution in a decomposed environment involving private or public nodes. One aspect poorly explored by the current bibliography is the monitoring of decomposed processes. In previous contributions we proposed architectures and concrete implementations for them using a BPMS currently present in the industry (BonitaOS) [35] and a monitoring application in charge of collecting data from each distributed node using web services. Some concepts already known from traditional BPM environments, like BAM and CEP are considered in the present work as mechanisms to improve the monitoring application. In order to make this, it was necessary to detect the substantial differences between both disciplines, in terms of incomes, results and nature. The final result is the architecture from previous works now arranged according to the new technologies, and a set of traditional indicators used in dashboards but now modified under the perspective of distributed BPM. In terms of future work some lines can be expressed. There are several tools in the present market for the implementation of BAM and CEP, like WSO2BAM and WSO2CEP [39]. They can be deployed in a cloud environment and being integrated with several open source tools, like Bonita Open Solution. A future proposal regarding this is to adapt the concrete implementation of distributed business processes made before in several works to these tools, and enhance in this way the monitoring application by adding some indicators properly managed in BAM and CEP traditionally.

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